

JOHN A. LAHIVE, JR. (1928-1997) THOMAS V. SMURZYNSKI RALPH A. LOREN GIULIO A. DeCONTI, JR. ANN LAMPORT HAMMITTE ELIZABETH A. HANLEY AMY BAKER MANDRAGOURAS ANTHONY A. LAURENTANO **KEVIN J. CANNING** JANE E. REMILLARD DeANN FORAN SMITH PETER C. LAURO JEANNE M. DIGIORGIO DEBRAU MILASINGIC Ph.D. DAVID J. RIKKERS DAVID R. BURNS JOHN S. CURRAN SEAN D. DETWEILER

CY L. YANIK, Ph.D."

MEGAN E, WILLMANS, Ph.D.

RICHA NAND

MICHAEL PHILLIPPS *

LISA M. DIROCCO

HATHAWAY P. RUSSELL **

MARIA LACCOTRIPE ZACHARAKIS, Ph.D

PETER A. DIMATTIA

VINCENT P. LOCCISANO

MERIDETH C. ARNOLD

SENIOR COUNSEL JAMES E. COCKFIELD

OF C UNSEL JEREMIAH LYNCH WILLIAM A. SCOFIELD, JR. SIBLEY P. REPPERT PATENT AGENTS
THEODORE R. WEST
SHAYNE Y. HUFF, Ph.D.
DANIEL B. KO

* Admitted in NY only ** Admitted in TX only

March 8, 2002

Office of Initial Patent Examination Customer Service Center Commissioner for Patents Washington, D.C. 20231

COPY OF PAPERS ORIGINALLY FILED

Re:

U.S. Patent Application Serial No. 10/008,264

Applicants: Laurie H. Glimcher and Susanne J. Szabo Filed: December 3, 2001 Group Art Unit: 1642

Title: T-BET COMPOSITIONS AND METHODS OF USE THEREOF

Attorney's Docket No.: HUI-040CP

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

- 1. Request for Correction of Filing Receipt (1 page, in duplicate);
- 2. Copy of Filing Receipt with changes noted thereon (2 pages);
- 3. Copy of Application Transmittal Form (2 pages); and
- 4. A Pre-Paid Acknowledgment postcard.

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. A duplicate of this sheet is enclosed.

Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Initial Patent Examination Office, Customer Service Center Patents, Washington, D.C. 20231 on:

March 8, 2002

// Date

Cynthia L. Kanik, Ph.D., Reg. No. 37,320

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Cynthia L. Kanik, Ph.D.

Reg. No. 37,320

Attorney for Applicants

MAR 1 8 2002 2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Laurie H. Glimcher and

Susanne J. Szabo

Serial No.: 10/008,264

Filed: December 3, 2001

For: T-BET COMPOSITIONS AND METHODS

OF USE THEREOF

Attorney Docket No.: HUI-040CP

Group Art Unit: 1642

Examiner:

COPY OF PAPERS ORIGINALLY FILED

Office of Initial Patent Examination Customer Service Center Commissioner for Patents Washington, D.C. 20231

REQUEST FOR CORRECTION OF FILING RECEIPT

Dear Sir:

The Filing Receipt for the above-identified patent application incorrectly lists the Total Claims filed as "49" instead of "57." Applicants request a corrected Filing Receipt.

A copy of the Filing Receipt for the above application with the changes noted thereon, along with a copy the Application Transmittal Form as filed, are submitted herewith for your reference.

Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Initial Patent Examination Office, Customer Service Center, Commissioner for Patents, Washington, DC 20231 on:

March 8, 2002

1 Date

Cynthia L. Kanik, Ph.D., Reg. No. 37,320

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Cynthia L. Kanik, Ph.D.

Reg. No. 37,320

Attorney for Applicants

BOX PATENT APPLICATION U.S. Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202



Case Docket No. HUI-040CP

"Express Mail" Mailing Label Number_EL 833 313 697 US Date of Deposit December 3, 2001 I hereby certify that this transmittal letter and the papers referred to as being enclosed therein are being

COPY OF PAPERS ORIGINALLY FILED

	37	posited with the Uni CFR 1.10 on the da	te indicated abo	ve and is	addressed to	Post Office to A	Addressee nd Trade	" service unde mark Office, B	r lox
	l rai	tent Application, P.0). 150x 23/.1, Ar	ungton, '	VA 22202	0			- -
		Signature	~~		<u> </u>		-		
		Viriato G. Carde Please Print Nar							
		ricase Frint Nai	ne of reison sig	ung					
~.									
Sir:									
Transm	nitted herewit	th for filing is the	patent applicat	ion of					
Invento	or(s):	Laurie H. Glimch	er and Susanne	e J. Szat	00				
For:	For: T-BET COMPOSITIONS AND METHODS OF USE THEREOF								
Enclose	ed are:								
X	This is a r	a request for filing a continuation-in-part divisional application under 37 CFR 1.53(b), of pendir pplication serial no. PCT/US00/15345 filed on June 1, 2000 entitled T-BET COMPOSITIONS AND							
	METHOD METHOD	OS OF USE THER	EOF.	<u>45</u> Illed	on June 1, 2	000 entitled j	-BEI C	OMPOSITIO	<u>JNS AND</u>
X									
X	27 sheets of drawings;								
	A copy of an unexecuted Declaration Petition and Power of Attorney (6 pages) and								
\boxtimes	Return acknowledgement postcard.								
X	Applicant	claims small entit	y status. See 3	37 CFR	1.27.				
The fili	ng fee has be	en calculated as s	hown below:						
		(Col. 1)	(Col. 2)		SMALL ENTITY			OTHER THAN SMALL ENTITY	
FOR:		NO. FILED	NO. EXTRA		RATE	FEE	OR	RATE	FEE
BASIC	FEE	///////////////////////////////////////	<u> </u>		////////	\$ 370	OR	////////	\$
TOTAL	CLAIMS	57 - 20	= 37	-	x 9=	\$ 333	OR	x 18=	s
INDEP. CLAIMS		10 - 3	= 7	7	x 42	\$ 294	OR	x 84	\$
⊠ MU	ULTIPLE DEP	PENDENT CLAIMS	PRESENTED		+140	\$ 140	OR	+280	\$
		Col. 2 is less that			TOTAL	\$1137.00	OR	TOTAL	0
enter	"0" in Col. 2	2.]		
X	Niconal and District Annual Control of the Control								
ISI.	Please charge my Deposit Account No. 12-0080 in the amount of \$1137.00. A duplicate copy of this sheet is enclosed.								
_									
L	A check in	the amount of \$_	to	cover t	he filing fee	is enclosed.			
X		The Commissioner is hereby authorized to charge payment of the following fees associated with this							
	communication or credit any overpayment to Deposit Account No. 12-0080.								
		te copy of this she	et is enclosed.						
		Any additional fil	ing fees requir	ed unde	r 37 C.F.R. 1	.16.			
	Any patent application processing fees under 37 C.F.R. 1.17.								

Any patent application processing fees under 37 C.F.R. 1.17.

X	Amend the application by inserting before the first line the sentences: "This application is a continuation-in-part application PCT/US00/15345 filed on June 1, 2000, pending, published pursuant to PCT Article 21, in English, the entire contents of which are incorporated herein by this reference."								
	The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 12-0080. A duplicate copy of this sheet is enclosed.								
	Any patent applicati	on processing fees under 37 C.F.R. 1.17.							
	The issue fee set in a pursuant to 37 C.F.F.	37 C.F.R. 1.18 at or before mailing of the Notice of Allowance, t. 1.311(b).							
	Any filing fees unde	r 37 C.F.R. 1.16 for presentation of extra claims.							
	A check in the amount of \$ to cover the recording of assignment documents is also enclosed.								
X	Address all future communications (May only be completed by applicant, or attorney or agent of record) to Giulio A. DeConti, Jr., Esq. at Customer Number: 000959 whose address is:								
		Lahive & Cockfield, LLP 28 State Street Boston, Massachusetts 02109							
Date:_	December 3, 2001	LAHIVE & COCKFIELD, LLP Attorneys at Law By Lank Cynthyl L. Kanik, Ph.D. Reg. No. 37,320 28 State Street Boston, MA 02109 (617) 227-7400 Telecopier (617) 742-4214							



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 2023I WWW.uspto.gov

APPLICATION NUMBER FILING DATE GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS TOT CLAIMS IND CLAIMS 10/008,264 12/03/2001 1642 1095 HUI-040CP 27 -49- 9

CONFIRMATION NO. 2529

000959 LAHIVE & COCKFIELD 28 STATE STREET BOSTON, MA 02109



OC000000007299597

COPY OF PAPERS ORIGINALLY FILED

Date Mailed: 01/11/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filling Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filling Receipt with the changes noted thereon. If y u received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filling Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filling Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Laurie H. Glimcher, West Newton, MA; Susanne J. Szabo, Brookline, MA;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF PCT/US00/15345 06/01/2000

F reign Applications

If Required, Foreign Filing License Granted 01/11/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Titl

T-bet compositions and methods of use thereof

Preliminary Class

435

RECEIVED
LAHIVE & COCKFIELD
DOCKET DEPT.

JAN 1 6 2002
REPRIEVED: Maa



LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Cod of Fed ral Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy ord r under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).